

UNIFORM EMBEDDINGS OF BOUNDED GEOMETRY SPACES INTO REFLEXIVE BANACH SPACE

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ABSTRACT. We show that every metric space with bounded geometry uniformly embeds into a direct sum of $\ell^p(\mathbb{N})$ spaces (p 's going off to infinity). In particular, every sequence of expanding graphs uniformly embeds into such a reflexive Banach space even though no such sequence uniformly embeds into a fixed $\ell^p(\mathbb{N})$ space. In the case of discrete groups we prove the analogue of a-T-menability – the existence of a metrically proper affine isometric action on a direct sum of $\ell^p(\mathbb{N})$ spaces.

INTRODUCTION

Gromov introduced the notion of uniform embeddability and later suggested that uniform embeddability of a discrete group into a Hilbert space, or even into a uniformly convex Banach space, might be relevant for applications to the Novikov conjecture [3, 2]. Yu subsequently proved the Coarse Baum-Connes and Novikov conjectures for groups which are uniformly embeddable into a Hilbert space [13, 11]. This led to the verification of the Novikov conjecture for large classes of discrete groups, including, for example, linear groups [6] and, more generally, exact groups [10, 7].

The question of whether every discrete group might be uniformly embeddable in Hilbert space remained open for some time. Gromov ended the speculation by announcing the construction of a discrete group which cannot be uniformly embedded into Hilbert space [5, 4]. Nevertheless, the Novikov conjecture remains valid for the groups Gromov constructs.

Two natural questions arise: (1) Can one prove the Novikov conjecture for discrete groups which uniformly embed into other nice Banach spaces? (2) Does every discrete group embed into a nice Banach space? How ‘nice’ the range Banach space needs to be (for question (1)) is not yet clear, although quite recently Kasparov and Yu have achieved some interesting results in this direction. In this note we show that every metric space with bounded geometry can be uniformly embedded into a fairly nice Banach space. More precisely, our main result is the following:

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1. Theorem. *Let X be a metric space with bounded geometry. There exists a sequence of positive real numbers $\{p_n\}$ and a uniform embedding of X into the ℓ^2 -direct sum $\oplus \ell^{p_n}(\mathbb{N})$.*

The Banach space in the statement is reflexive. Thus, every bounded geometry metric space can be uniformly embedded into a reflexive Banach space. In particular, every sequence of expanding graphs can be uniformly embedded into a reflexive Banach space, answering a question pondered by several experts. As observed by Gromov, a sequence of expanding graphs cannot be uniformly embedded into Hilbert space [4] (see [8] for a proof); a less well-known observation by Roe is that such a sequence cannot be uniformly embedded into $\ell^p(\mathbb{N})$ for any finite p (cf. [9]). Hence the result above seems to give a uniform embedding into the simplest kind of Banach space that is capable of containing all bounded geometry metric spaces.

In the case of discrete groups we prove the following stronger result.

2. Theorem. *Let Γ be a countable discrete group. There exists a sequence of positive real numbers $\{p_n\}$ and a metrically proper affine isometric action of Γ on the ℓ^2 -direct sum $\oplus \ell^{p_n}(\mathbb{N})$. In particular, there exists a uniform embedding $\Phi : \Gamma \rightarrow \oplus \ell^{p_n}(\mathbb{N})$ such that $\|\Phi(s) - \Phi(t)\| = \|\Phi(t^{-1}s)\|$, for all $s, t \in \Gamma$.*

This theorem is a version a-T-menability involving a reflexive Banach space in place of a Hilbert space. The remarks above apply equally to the groups constructed by Gromov: they cannot be uniformly embedded into, and in particular do not admit metrically proper affine isometric actions on, $\ell^p(\mathbb{N})$ for any finite p . Thus, again, the result is in some sense optimal.

The proofs of the two results above have certain similarities though the actual constructions involved are slightly different. In the next section we collect several facts needed for both proofs and set our notation. In the remaining two sections we construct the required embedding and affine action, proving the theorems.

1. NOTATION, DEFINITIONS AND BASIC FACTS

For a countable set S let $\ell^p(S)$ denote the usual sequence space and $\|\cdot\|_p$ the corresponding norm (we allow $1 \leq p \leq \infty$). For a sequence \mathcal{E}_n of Banach spaces let $\oplus \mathcal{E}_n$ denote the ℓ^2 -direct sum defined by $\oplus \mathcal{E}_n = \{(z_n) : \sum \|z_n\|^2 < \infty\}$, with the obvious norm. Since the dual space of an ℓ^2 -direct sum is the ℓ^2 -direct sum of the dual spaces we see that if every \mathcal{E}_n is reflexive then so is $\oplus \mathcal{E}_n$. In particular, for every sequence of real numbers $\{p_n\}$, $\oplus \ell^{p_n}(\mathbb{N})$ is a reflexive Banach space.

A metric space X is *locally finite* if every ball is finite; it has *bounded geometry* if for all $R > 0$ there exists $C > 0$ such that every R -ball in

X contains at most C points. With these conventions a bounded geometry metric space is necessarily discrete and countable.

A function $\Phi : X \rightarrow \mathcal{E}$ from a metric space X to a Banach space \mathcal{E} is a *uniform embedding* if there exist non-decreasing proper functions $\rho_{\pm} : [0, \infty) \rightarrow \mathbb{R}$ such that

$$\rho_{-}(d(x, y)) \leq \|\Phi(x) - \Phi(y)\| \leq \rho_{+}(d(x, y)), \quad \text{for all } x, y \in X,$$

where $\|\cdot\|$ denotes the norm in \mathcal{E} .

We require the following elementary fact.

3. Lemma. *Let S be a set, and let $\alpha \geq 0$ and $\beta \geq 1$. Then $\|f\|_p \rightarrow \|f\|_{\infty}$ as $p \rightarrow \infty$ uniformly on sets of the form*

$$\mathcal{S}_{\alpha, \beta} = \{f \in l^{\infty}(S) : \|f\|_{\infty} \leq \alpha \text{ and } \#\text{supp}(f) \leq \beta\},$$

where $\#\text{supp}(f)$ denotes the cardinality of the support of f .

Proof. The following inequalities imply the lemma:

$$\begin{aligned} \|f\|_{\infty} &\leq \|f\|_p = \left\{ \sum_{s \in \text{supp}(f)} |f(s)|^p \right\}^{1/p} \\ &\leq \|f\|_{\infty} \left\{ \sum_{s \in \text{supp}(f)} 1 \right\}^{1/p} \leq \|f\|_{\infty} \beta^{1/p}. \quad \square \end{aligned}$$

2. BOUNDED GEOMETRY CASE

In this section we prove Theorem 1. The main observation is that every locally finite metric space enjoys a weak analogue of Yu's property A [13]. More precisely we have the following lemma.

4. Lemma. *Let X be a locally finite metric space. Then there exists a sequence of mappings $\phi^n : X \rightarrow l^{\infty}(X)$, $x \mapsto \phi_x^n$ such that*

- (1) $\|\phi_x^n\|_{\infty} = 1$, for all $x \in X$ and $n \in \mathbb{N}$;
- (2) $\text{supp}(\phi_x^n) \subset B_n(x)$, where $B_n(x)$ is the ball of radius n about x ;
- (3) $\|\phi_x^n - \phi_y^n\|_{\infty} \leq d(x, y)/n$, for all $x, y \in X$.

Proof. Define functions $\phi^n : X \rightarrow l^{\infty}(X)$ as follows:

$$\phi_x^n(y) = \begin{cases} 1 - \frac{d(x, y)}{n}, & d(x, y) \leq n \\ 0, & d(x, y) \geq n. \end{cases}$$

Clearly conditions (1) and (2) are satisfied. Condition (3) is easily check by considering cases.

Case $z \notin B_n(x) \cup B_n(y)$: $\phi_x^n(z) = 0$ and $\phi_y^n(z) = 0$.

Case $z \in B_n(x) \cap B_n(y)$: By the triangle inequality

$$|\phi_x^n(z) - \phi_y^n(z)| = |d(x, z) - d(y, z)|/n \leq d(x, y)/n.$$

Case $z \in B_n(x) - B_n(y)$: Again by the triangle inequality

$$|\phi_x^n(z) - \phi_y^n(z)| = (n - d(x, z))/n \leq (d(y, z) - d(x, z))/n \leq d(x, y)/n.$$

The remaining case is similar. \square

The conditions (1)–(3) in the lemma are indeed a weak form of Property A. For a bounded geometry space X Tu [12] showed that Property A is equivalent to the existence of a sequence of functions $\psi^n : X \rightarrow l^2(X)$ satisfying the following conditions:

- (a) $\|\psi_x^n\|_2 = 1, \forall x \in X$ and $\forall n \in \mathbb{N}$;
- (b) $\forall n \in \mathbb{N} \exists R > 0$ such that $\text{supp}(\psi_x^n) \subset B_R(x)$;
- (c) $\forall C > 0 \|\psi_x^n - \psi_y^n\|_2 \rightarrow 0$ uniformly for $x, y \in X$ satisfying $d(x, y) \leq C$.

We note that conditions (2) and (3) in the lemma could be replaced by direct analogues of conditions (b) and (c) (for example, replace the precise estimate in (3) by a statement involving only uniform convergence as in (c)) at the cost of complicating some of the arguments below.

Proof of Theorem 1. Since X is assumed to be of bounded geometry the cardinality of the supports of the functions ϕ_x^n (defined as in the previous lemma) are uniformly bounded above for each n (*independent of x*). Hence for each integer n we can, by Lemma 3, find a real number p_n such that

$$\|\phi_x^n - \phi_y^n\|_{p_n} \leq \|\phi_x^n - \phi_y^n\|_\infty + 1/n,$$

for all $x, y \in X$. Combined with condition (3) of the lemma this implies, in particular, that

$$\|\phi_x^n - \phi_y^n\|_{p_n} \leq \frac{d(x, y) + 1}{n},$$

for all $n \in \mathbb{N}$ and $x, y \in X$.

Now fix a base point $x_0 \in X$ and define, for all $x \in X$,

$$\Phi(x) = \oplus \phi_x^n - \phi_{x_0}^n.$$

A simple calculation reveals that

$$\|\Phi(x) - \Phi(y)\|^2 = \sum_{n \in \mathbb{N}} \|\phi_x^n - \phi_y^n\|_{p_n}^2 \leq (d(x, y) + 1)^2 \sum_{n \in \mathbb{N}} \frac{1}{n^2}.$$

Setting $y = x_0$ and observing that $\Phi(x_0) = 0$ we conclude that indeed $\Phi(x) \in \oplus l^{p_n}(X)$ for all $x \in X$. It remains to see that $\Phi : X \rightarrow \oplus l^{p_n}(X)$ is a uniform embedding. Consulting the previous inequality once again we see that we may define $\rho_+(r) = (\sum_{n \in \mathbb{N}} \frac{1}{n^2})^{1/2}(r + 1)$.

We define a lower distortion function by

$$\rho_-(r) = \inf_{d(x,y) \geq r} \|\Phi(x) - \Phi(y)\|,$$

and are left to check that $\rho_-(r) \rightarrow \infty$ as $r \rightarrow \infty$. In other words, for every integer $R > 0$ we must find an r_0 such that $\|\Phi(x) - \Phi(y)\| > R$ for all $x, y \in X$ satisfying $d(x, y) > r_0$. This is easy to do: since each ϕ_x^n is supported in the ball of radius n about x if $d(x, y) > 2R^2$ it follows that

$$\|\Phi(x) - \Phi(y)\|^2 > \sum_{n=1}^{R^2} \|\phi_x^n - \phi_y^n\|_{p_n}^2 \geq \sum_{n=1}^{R^2} \|\phi_x^n - \phi_y^n\|_\infty^2 = R^2.$$

This concludes the proof. \square

3. DISCRETE GROUP CASE

In this section we prove Theorem 2. The proof is similar to one of the standard proofs that amenable groups are uniformly embeddable into Hilbert space [1].

Let Γ be a countable discrete group. Equip Γ with a proper length function; if Γ is finitely generated this could be a word length function. The length of an element $s \in \Gamma$ is denoted $|s|$.

The left regular representation of Γ on $\ell^p(\Gamma)$ is denoted $f \mapsto s \cdot f$; these are isometric actions. We regard $\ell^\infty(\Gamma) \subset \mathcal{B}(\ell^2(\Gamma))$ as multiplication operators; we regard $\Gamma \subset \mathcal{B}(\ell^2(\Gamma))$ via the left regular representation. With these conventions we have $sfs^* = s \cdot f$ for all $s \in \Gamma$ and $f \in \ell^\infty(\Gamma)$.

5. Lemma. *For every $C > 0$ and $\varepsilon > 0$ there exists a non-negative, finitely supported function f on Γ such that $\|f\|_\infty = f(e) = 1$ and $\|s \cdot f - f\|_\infty < \varepsilon$, for all $s \in \Gamma$ with $|s| \leq C$.*

Proof. Consider the C^* -algebra $B = C_r^*(\Gamma) + \mathcal{K}(\ell^2(\Gamma)) \subset \mathcal{B}(\ell^2(\Gamma))$. Define $h \in c_0(\Gamma)$ by $h(s) = |s|^{-1}$ (set $h(e) = 1$). Observe that $h \in c_0(\Gamma) \subset \ell^\infty(\Gamma) \cap \mathcal{K}(\ell^2(\Gamma))$ is a strictly positive element in $\mathcal{K}(\ell^2(\Gamma))$. Consequently, $\{h^{1/n}\}$ is an approximate unit for $\mathcal{K}(\ell^2(\Gamma))$ and the convex hull of $\{h^{1/n}\}$ contains an approximate unit for $\mathcal{K}(\ell^2(\Gamma))$ which is *quasical* in B . In particular, given $C > 0$ and $\varepsilon > 0$ there exists a convex combination g of the $\{h^{1/n}\}$ such that

$$\|s \cdot g - g\|_\infty = \|sgs^* - g\| = \|sg - gs\| < \frac{\varepsilon}{3},$$

for all $s \in \Gamma$ with $|s| \leq C$. Note that $g \in c_0(\Gamma)$ satisfies $\|g\|_\infty = g(e) = 1$ and let f be a function of finite support such that $\|f - g\|_\infty < \varepsilon/3$, $\|f\|_\infty = f(e) = 1$. The proof concludes with a simple estimate:

$$\|s \cdot f - f\|_\infty \leq \|s \cdot f - s \cdot g\|_\infty + \|s \cdot g - g\|_\infty + \|g - f\|_\infty < \varepsilon,$$

for all $s \in \Gamma$ with $|s| \leq C$. \square

Proof of Theorem 2. Apply the previous lemma to a sequence of C 's tending to infinity and a sequence of ε 's tending sufficiently fast to zero to obtain a sequence of finitely supported functions $\{f_n\}$ such that

$$\sum_{n=1}^{\infty} \|s \cdot f_n - f_n\|_{\infty}^2 < \infty,$$

for all $s \in \Gamma$. Apply Lemma 3 to obtain a sequence $\{p_n\}$ such that

$$\sum_{n=1}^{\infty} \|s \cdot f_n - f_n\|_{p_n}^2 < \infty,$$

for all $s \in \Gamma$. By virtue of this inequality we may define $\Phi : \Gamma \rightarrow \oplus \mathcal{L}^{p_n}(\Gamma)$ by $\Phi(s) = \oplus s \cdot f_n - f_n$.

Denote by λ the sum of the left regular representations of Γ on $\oplus \mathcal{L}^{p_n}(\Gamma)$; λ is an isometric representation. A straightforward calculation reveals that Φ satisfies the cocycle identity with respect to λ : $\Phi(st) = \lambda_s(\Phi(t)) + \Phi(s)$ for all $s, t \in \Gamma$. Consequently, $\alpha_s = \lambda_s + \Phi(s)$ defines an affine isometric action of Γ on $\oplus \mathcal{L}^{p_n}(\Gamma)$. Observe that

$$\|\Phi(s) - \Phi(t)\| = \|\alpha_s(0) - \alpha_t(0)\| = \|\alpha_{s^{-1}t}(0)\| = \|\Phi(s^{-1}t)\|,$$

for all $s, t \in \Gamma$.

It remains only to check that Φ is proper, in the sense that $\|\Phi(s)\| \rightarrow \infty$ as $s \rightarrow \infty$ in Γ . This is straightforward. Indeed, if f_n is supported in the ball of radius R_n (in Γ , w.r.t. $|\cdot|$) and $s \in \Gamma$ satisfies $|s| > 2R_n$ then the supports of $s \cdot f_n$ and f_n are disjoint and $\|s \cdot f_n - f_n\|_{p_n} \geq 1$ (since $\|f_n\|_{\infty} = 1$). Hence, if $|s| > 2\max\{R_1, \dots, R_m\}$ then $\|\Phi(s)\| \geq m^{1/2}$. \square

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