

# MULTIPLICATION OPERATORS, RIEMANN SURFACES AND ANALYTIC CONTINUATION

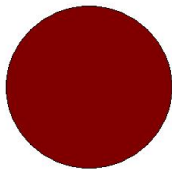
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Vanderbilt University

This is a joint work with  
Ronald G. Douglas and Shunhua Sun.

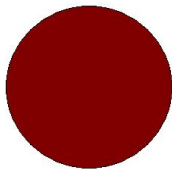
# BERGMAN SPACE

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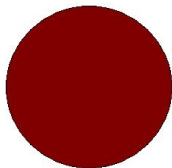
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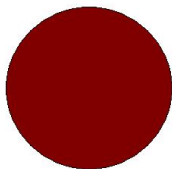
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- The Bergman space

$$L_a^2 = \{ f \in L^2(\mathbb{D}, dA) : f \text{ is analytic in } \mathbb{D} \}$$

# REPRODUCING KERNEL

- Inner product

$$\begin{aligned}\langle f, g \rangle &= \int_{\mathbb{D}} f(z) \overline{g(z)} dA(z) \\ &= \sum_{n=0}^{\infty} \frac{a_n \overline{b_n}}{n+1}\end{aligned}$$

if  $f(z) = \sum_{n=0}^{\infty} a_n z^n$  and  $g(z) = \sum_{n=0}^{\infty} b_n z^n$ .

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# MULTIPLICATION OPERATORS

- For  $\phi \in H^\infty(\mathbb{D})$ , the multiplication operator  $M_\phi$  on  $L_a^2$  is defined by

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- $M_z$  is the Bergman shift

$$M_z e_n = \sqrt{\frac{n+1}{n+2}} e_{n+1}.$$

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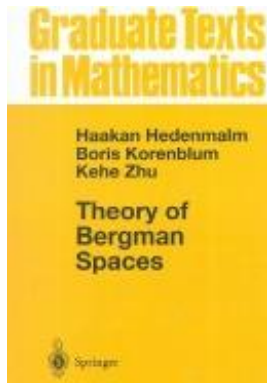
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- $\sigma(M_\phi) = \overline{\phi(\mathbb{D})}.$

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## On the Bergman Space

### Aleman-Richter-Sundberg Theorem

Every invariant subspace  $\mathcal{M}$  of the multiplication operator  $M_z$  by  $z$  is generated by its wandering subspace  $\mathcal{M} \ominus M_z \mathcal{M}$ , i.e.,

$$\mathcal{M} = [\mathcal{M} \ominus M_z \mathcal{M}].$$

### Index Theorem

For each  $n$  equal to positive integer or  $+\infty$ , there is an invariant subspace  $\mathcal{M}$  of  $M_z$  such that

$$\dim [\mathcal{M} \ominus M_z \mathcal{M}] = n.$$

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Lattice  $M_z$ ?

↓ **Apostol-Bercovici-Foias-Pearcy**

**Invariant subspace problem**

Does every bounded operator on a Hilbert space have an invariant subspace?

# MULTIPLICATION OPERATORS VIA COMPLEX GEOMETRY

## Cowen-Douglas classes

If  $\phi$  is a rational function with poles outside of the closed unit disk,

$$M_\phi^* \in \mathcal{B}_n(\Omega)$$

for some  $n$  and open set  $\Omega \subset \mathbb{C}$ .



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$T \in \mathcal{B}_n(\Omega)$  on a Hilbert space  $\mathcal{H}$  if

- $\Omega \subset \sigma(T)$ .
- $\text{Ran}(T - \omega) = \mathcal{H}$  for each  $\omega \in \Omega$ .
- $\bigvee_{\omega \in \Omega} \ker(T - \omega) = \mathcal{H}$ .
- $\dim \ker(T - \omega) = n$  for each  $\omega \in \Omega$ .

# MULTIPLICATION OPERATORS VIA THEORY OF SUBNORMAL OPERATORS

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An operator  $S$  on a Hilbert space  $H$  is subnormal if there are a Hilbert space  $K$  containing  $H$  and a normal operator  $N$  on  $K$  (i.e.,  $NN^* = N^*N$ ) such that  $H$  is an invariant subspace of  $N$  and

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Suppose  $S_1$  and  $S_2$  are two subnormal operators on a Hilbert space  $H$  and  $N_1$  and  $N_2$  are the minimal normal extensions of  $S_1$  and  $S_2$  on  $K$  respectively. If  $S_1$  and  $S_2$  are unitarily equivalent, i.e.,  $W^*S_1W = S_2$  for some unitary operator  $W$  on  $H$ , then there is a unitary operator  $\tilde{W}$  on  $K$  such that

$$W = \tilde{W}|_H, \quad \tilde{W}^*N_1\tilde{W} = N_2.$$

# MINIMAL NORMAL EXTENSION OF $M_\phi$

For  $\phi$  in  $H^\infty$ , let  $\tilde{M}_\phi$  denote the multiplication operator by  $\phi$  on  $L^2(\mathbb{D}, dA)$  given by  $\tilde{M}_\phi g = \phi g$  for each  $g$  in  $L^2(\mathbb{D}, dA)$ .

- *The minimal normal extension of the Bergman shift  $M_z$  is the operator  $\tilde{M}_z$  on  $L^2(\mathbb{D}, dA)$ .*
- *For each finite Blaschke product  $\phi$ , the minimal normal extension of  $M_\phi$  is the operator  $\tilde{M}_\phi$  on  $L^2(\mathbb{D}, dA)$ .*

Thus for each unitary operator  $W$  commuting with  $M_\phi$ , there is a unitary operator  $\tilde{W}$  on  $L^2(\mathbb{D}, dA)$  such that

$$W = \tilde{W}|_{L^2_a}, \quad \tilde{W}^* \tilde{M}_\phi \tilde{W} = \tilde{M}_\phi.$$

# MULTIPLICATION OPERATORS VIA $H^2(\mathbb{D}^2)$

- Let  $[z - w]$  denote the subspace of  $H^2(\mathbb{T}^2)$  spanned by  $(z - w)H^2(\mathbb{T}^2)$ .

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$$p_n(z, w) = \sum_{i=0}^n z^i w^{n-i}.$$

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- Define the operator  $U : L_a^2(D) \rightarrow \mathcal{H}$  by

$$Uz^n = \frac{p_n(z, w)}{n+1}.$$

$U$  is a unitary operator from  $L_a^2(D)$  onto  $\mathcal{H}$ .

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- Thus the Bergman shift is lifted up as the compression of an isometry on a nice subspace of  $H^2(\mathbb{T}^2)$ .
- For any inner function  $\phi$ ,

$$M_{\phi} \cong \phi(\mathcal{B}) = P_{\mathcal{H}} T_{\phi(z)}|_{\mathcal{H}} = P_{\mathcal{H}} T_{\phi(w)}|_{\mathcal{H}}.$$

## Problem 1

Classify reducing subspaces of  $M_\phi$ ?

Finite Blaschke product:

$$\phi(z) = \prod_{j=1}^n \frac{z - \alpha_j}{1 - \bar{\alpha}_j z},$$

where  $\alpha_j$  are points in the unit disk  $\mathbb{D}$ .

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# COMMUTANT

For a bounded operator  $S$ , the commutant

$$\{S\}' = \{T \in B(L_a^2) : ST = TS\}$$

Let

$$\mathcal{A}_\phi = \{M_\phi\}' \cap \{M_\phi^*\}'.$$

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If  $\mathcal{M}$  is a reducing subspace of  $M_\phi$  and  $P_{\mathcal{M}}$  is the orthogonal projection from the Bergman space onto  $\mathcal{M}$ , then

$$P_{\mathcal{M}}M_\phi = M_\phi P_{\mathcal{M}}, \quad P_{\mathcal{M}}M_\phi^* = M_\phi^* P_{\mathcal{M}}.$$

## Problem 2

Structure of the von Neumann algebra  $\mathcal{A}_\phi$ ?

# WHY FINITE BLASCHKE PRODUCT $\phi$ ?

## Theorem (Cowen-Thomson)

For each rational function  $f$  with poles outside of the closed unit disk, there is a finite Blaschke product  $\phi$  such that

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- The Riemann surface  $\phi^{-1} \circ \phi$  over  $\mathbb{D}$  is completely determined by the polynomial  $P(w)Q(z) - P(z)Q(w)$  where  $P(z)$  and  $Q(z)$  are coprime polynomials of two complex variables  $z$  and  $w$  such that

$$\phi(z) = \frac{P(z)}{Q(z)}.$$

# WHY FINITE BLASCHKE PRODUCT $\phi$ ?

## THEOREM

*There exists a unique reducing subspace  $\mathcal{M}_0(\phi)$  for  $\phi(\mathcal{B})$  such that  $\phi(\mathcal{B})|_{\mathcal{M}_0(\phi)}$  is unitarily equivalent to the Bergman shift. In fact,*

$$\mathcal{M}_0(\phi) = \bigvee_{l \geq 0} \{p_l(\phi(z), \phi(w))e_0\} \subset \mathcal{H},$$

*and  $\left\{ \frac{p_l(\phi(z), \phi(w))e_0}{\sqrt{l+1}\|e_0\|} \right\}_0^\infty$  form an orthonormal basis of  $\mathcal{M}_0(\phi)$ . Here  $e_0(z, w) = \frac{\phi(z) - \phi(w)}{z - w}$ .*

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## THEOREM

*For a function  $\phi$  in  $H^\infty$ , if  $M_\phi$  on the Bergman space has the distinguished reducing subspace on which the restriction of  $M_\phi$  is unitarily equivalent to the Bergman shift, then  $\phi$  must be a finite Blaschke product.*



# DISTINGUISHED REDUCING SUBSPACE $\mathcal{M}_0(\phi)$

## Theorem (Stessin-Zhu)

If  $\phi$  is a finite Blaschke product and  $\phi(0) = 0$ ,

$$\mathcal{M}_0(\phi) \approx \bigvee_{n=0}^{\infty} \phi^n \phi'.$$

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## SECOND ORDER BLASCHKE PRODUCTS

- A reducing subspace  $M$  of  $T$  is called minimal if only reducing subspaces contained in  $M$  are  $M$  and  $\{0\}$ .

$M_{z^2}$  has only two nontrivial minimal reducing subspaces

- $M_{\text{even}} = \text{span}_{k=0}^{\infty} \{z^{2k}\}$
- $M_{\text{odd}} = \text{span}_{k=0}^{\infty} \{z^{2k+1}\}$

### THEOREM (Sun-Wang-Zhu)

Let  $\phi(z) = \prod_{j=1}^2 \frac{z-\alpha_j}{1-\bar{\alpha}_j z}$ . Then  $M_\phi$  has only two nontrivial minimal reducing subspaces  $\{\mathcal{M}_0(\phi), \mathcal{M}_0(\phi)^\perp\}$ .

# THIRD ORDER BLASCHKE PRODUCTS VIA $H^2(\mathbb{D}^2)$

## Theorem 1 (Guo-Sun-Zheng-Zhong)

Let  $\phi$  be a Blaschke product with three zeros.

- If  $\phi(z)$  has a multiple critical point in the unit disk, (i.e.,  $\phi \approx z^3$ ) then the lattice of reducing subspaces of  $M_\phi \approx$  the lattice generated by

$$\{\mathcal{M}_1, \mathcal{M}_2, \mathcal{M}_3\},$$

where  $\mathcal{M}_j = \bigvee_{k=0}^{\infty} \{z^{3k+j}\}$  for  $j = 1, 2, 3$ .

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By **Bochner Theorem** that every Blaschke product with  $n$  zeros has exactly  $n - 1$  critical points in the unit disk  $\mathbb{D}$ , we obtain the classification of reducing subspaces of  $M_\phi$  for a Blaschke product  $\phi$  with the third order.



# FOURTH ORDER BLASCHKE PRODUCTS

## THEOREM (SUN-ZHENG-ZHONG)

Let  $\phi$  be a fourth order Blaschke product. (1) If  $\phi \approx z^4$ , the lattice of reducing subspaces of  $M_\phi \approx$  the lattice generated by

$$\{\mathcal{M}_1, \mathcal{M}_2, \mathcal{M}_3, \mathcal{M}_4\},$$

where  $\mathcal{M}_j = \bigvee_{k=0}^{\infty} \{z^{4k+j}\}$  for  $j = 1, 2, 3, 4$ .

(2) If  $\phi \not\approx z^4$  but  $\phi$  is decomposable, i.e.,  $\phi = \psi_1 \circ \psi_2$  for two Blaschke products  $\psi_1$  and  $\psi_2$  with orders 2 then the lattice of reducing subspaces of  $M_\phi$  is generated by

$$\{\mathcal{M}_0(\phi), \mathcal{M}_0(\psi_2) \ominus \mathcal{M}_0(\phi), \mathcal{M}_0(\psi_2)^\perp\}.$$

(3) If  $\phi$  is not decomposable, then the lattice of reducing subspaces of  $M_\phi$  is generated by

$$\{\mathcal{M}_0(\phi), \mathcal{M}_0(\phi)^\perp\}.$$

# REDUCING SUBSPACES VS "GEOMETRY"

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## Problem 3

Can we classify reducing subspaces by 'geometry' of  $\phi$ ?

# REDUCING SUBSPACES VS "GEOMETRY"

## Conjecture

For a Blaschke product  $\phi$  of finite order, the number of nontrivial minimal reducing subspaces of  $M_\phi$  equals the number of connected components of the Riemann surface of  $\phi^{-1} \circ \phi$  over  $\mathbb{D}$ .

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Let  $\phi$  be a finite Blaschke product. The von Neumann algebra  $\mathcal{A}_\phi$  is generated by  $\mathcal{E}_1, \dots, \mathcal{E}_q$  and has dimension  $q$ . Here  $q$  is the number of connected components of the Riemann surface  $\phi^{-1} \circ \phi$  over the unit disk and

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- The Riemann surface  $\phi^{-1} \circ \phi$  over the unit disk.
- $G_{i_k}$  is the collection of local inverses of  $\phi$  which are mutually analytically continuable.
- Local inverses
- Analytical continuation

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## THEOREM

*Let  $\phi$  be a finite Blaschke product with order less than or equal to 8. Then  $\mathcal{A}_\phi$  is commutative and hence, in these cases, the number of minimal reducing subspaces of  $M_\phi$  equals the number of connected components of the Riemann surface  $\phi^{-1} \circ \phi$  over the unit disk.*

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*Order = 2, 3, 4.*

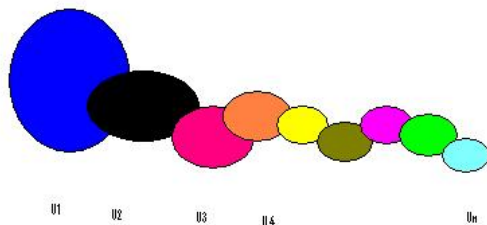
*Guo-Huang: Order = 5, 6.*

# ANALYTIC CONTINUATION

An analytic function element is a pair  $(f, U)$ , which consists of an open disk  $U$  and an analytic function  $f$  defined on this disk.

A finite sequence  $\mathcal{U} = \{(f_j, U_j)\}_{j=1}^m$  is a continuation sequence if

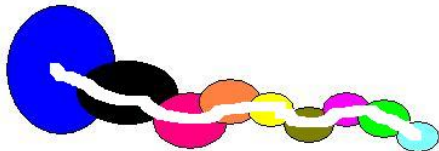
- $U_j \cap U_{j+1}$  is not empty for  $j = 1, \dots, m - 1$  and
- $f_j \equiv f_{j+1}$  on  $U_j \cap U_{j+1}$ , for  $j = 1, \dots, m - 1$ .



# ANALYTIC CONTINUATION

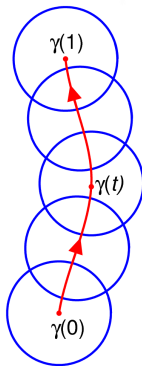
Let  $\gamma$  be an arc with parametrization  $\gamma(t)$ ,  $\gamma(t)$  being a continuous function on an interval  $[0, 1]$ . A sequence  $\{U_1, \dots, U_m\}$  is admissible or a covering chain for  $\gamma$  if each  $U_j$  is an open disk, and if there exist increasing numbers  $t_1, \dots, t_m$  in  $[0, 1]$  such that  $\gamma(t_j) \in U_j$  for  $j = 1, \dots, m$  and

$$\gamma(t) \in \begin{cases} U_1, & 0 \leq t \leq t_1 \\ U_j \cup U_{j+1}, & t_j \leq t \leq t_{j+1} \\ U_m, & t_m \leq t \leq 1. \end{cases}$$



A continuation sequence  $\mathcal{U} = \{(f_j, U_j)\}_{j=1}^m$  is an analytic continuation along the arc  $\gamma$  if the sequence  $U_1, \dots, U_m$  is admissible for  $\gamma$ . Each of  $\{(f_j, U_j)\}_{j=1}^m$  is an analytic continuation of the other along the curve  $\gamma$ . We say that the analytic function  $f_1$  on  $U_1$  admits a continuation in  $U_m$ .

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### THEOREM (Riemann Monodromy Theorem)

*Suppose  $\Omega \subset \mathbb{C}$  is a simply connected open set. If an analytic element,  $(f, U)$  can be analytically continued along any path inside  $\Omega$ , then this analytic function element can be extended to be a single-valued holomorphic function defined on the whole of  $\Omega$ .*

# LOCAL INVERSES

Bochner's theorem says that  $\phi$  has exactly  $n - 1$  critical points in the unit disk  $\mathbb{D}$  and none on the unit circle.

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Let  $\mathcal{C}$  denote the set of the critical points of  $\phi$  in  $\mathbb{D}$  and the set of branch points:

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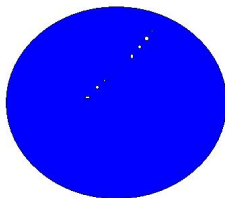
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- Let

$$E = \mathbb{D}/\mathcal{F}.$$

For an open set  $V \subset \mathbb{D}$ , we define a **local inverse** of  $\phi$  in  $V$  to be a function  $f$  analytic in  $V$  with  $f(V) \subset \mathbb{D}$  such that  $\phi(f(z)) = \phi(z)$  for every  $z$  in  $V$ . That is,  $f$  is a branch of  $\phi^{-1} \circ \phi$  defined in  $V$ .

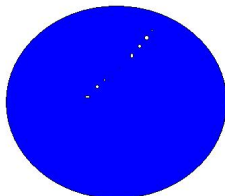


- Not all of the branches of  $\phi^{-1} \circ \phi$  can be continued to a different branch, for example  $z$  is a single valued branch of  $\phi^{-1} \circ \phi$ .
- A local inverse  $(f, V)$  admits an analytic continuation along the curve  $\gamma$  in  $E$  if there is a continuation sequence  $\mathcal{U} = \{(f_j, U_j)\}_{j=1}^m$  admissible for  $\gamma$  and  $(f_1, U_1)$  equals  $(f, V)$ .
- A local inverse in  $V \subset E$  is admissible for  $\phi$  if it admits unrestricted continuation in  $E$ .
- As  $\phi : E \rightarrow \mathbb{D}$  is an  $n$ -to-one mapping, for each  $z \in E$ ,  $\phi^{-1} \circ \phi(z)$  contains  $n$  distinct points  $\{z_1, \dots, z_n\}$  in  $E$ .
- For each  $z \in E$ ,  $\phi(\rho(z)) = \phi(z)$  has  $n$  solutions  $\phi^{-1} \circ \phi = \{\rho_k(z)\}_{k=1}^n$  on an open neighborhood  $D_z$  of  $z$
- $(\rho_j, D_z)$  is an analytic element and it is locally analytic and arbitrarily continuable in  $E$ .
- $\{\rho_j\}_{j=1}^n$  is the family of admissible local inverses in some invertible open disc  $V \subset \mathbb{D}$ .

For a point  $z_0 \in V$ , label those local inverses as  $\{\rho_j(z)\}_{j=1}^n$  on  $V$ .  
If there is a loop  $\gamma$  in  $E$  at  $z_0$   
such that  $\rho_j$  and  $\rho_{j'}$  in  
 $\{\rho_i(z)\}_{i=1}^n$  are mutually  
analytically continuable along  
 $\gamma$ , we can then write

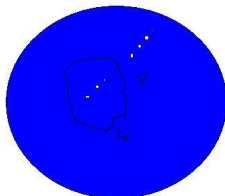
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is an equivalence relation.



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Using the equivalence relation, we divide  $\{\rho_i(z)\}_{i=1}^n$  into  
 equivalence classes

$$\{G_{i_1}, G_{i_2}, \dots, G_{i_q}\}$$

where  $i_1 = 1 < i_2 < i_3 < \dots < i_q \leq n$ . Each element in  $G_{i_k}$  extends  
 analytically to the other in  $G_{i_k}$ , but can not extend to any element  
 in  $G_{i_l}$  if  $i_k \neq i_l$ .

# $\{\rho_j\}_{j=1}^n$ FORM A GROUP UNDER COMPOSITION.

The set of admissible local inverses has the useful property that is closed under composition

$$\rho \circ \hat{\rho}(z) = \rho(\hat{\rho}(z)).$$

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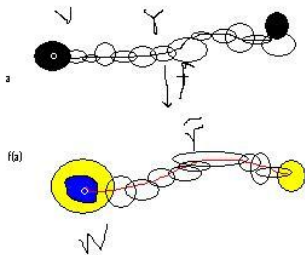
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$$\phi(\rho \circ \hat{\rho}(z)) = \phi(\rho(\hat{\rho}(z))) = \phi(\rho(z)) = \phi(z).$$

Is  $\rho \circ \hat{\rho}$  arbitrarily continuable in  $E$ ?

Let  $f$  and  $g$  be admissible local inverses in open discs  $V$  and  $W$  centered at  $a$  and  $f(a)$ , respectively, with  $f(V) \subset W$ .

Let  $\gamma$  be a curve in  $E$  with initial point  $a$ .  
 $f$  can be analytically continued along  $\gamma$ .  
 There is an obvious image curve  $\tilde{\gamma}$  of  $\gamma$  under this analytic continuation along  $\gamma$ .  $g$  can be analytically continued along  $\tilde{\gamma}$ . By refining the covering chain of  $\gamma$ , we can assume that if  $\tilde{V}$  is a covering disc of  $\gamma$  and  $(\tilde{f}, \tilde{V})$  the corresponding function element, then  $\tilde{f}(\tilde{V})$  is contained in one of the covering discs of  $\tilde{\gamma}$ . We now compose corresponding function elements in the analytic continuations along  $\gamma$  and  $\tilde{\gamma}$  to obtain an analytic continuation for  $(g \circ f, V)$  along  $\gamma$ .



# MAIN RESULT

## THEOREM

Let  $\phi$  be a finite Blaschke product. The von Neumann algebra  $\mathcal{A}_\phi$  is generated by  $\mathcal{E}_1, \dots, \mathcal{E}_q$  and has dimension  $q$ . Here  $q$  is the number of connected components of the Riemann surface  $\phi^{-1} \circ \phi$  over the unit disk and

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## Russo-Dye Theorem

Every element in a von Neumann algebra  $\mathcal{A}$  can be written as a finite linear combination of unitary operators in  $\mathcal{A}$ .



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## Representation of unitary operators

For each unitary operator  $W$  in the von Neumann algebra  $\mathcal{A}_\phi$ , we hope to get a nice representation of  $W$  on the Bergman reproducing kernel  $k_\alpha$ .

# LOCAL REPRESENTATION

## THEOREM

Let  $\phi$  be a finite Blaschke product. Let  $U$  be an invertible open set of  $E$ . Then for each  $T$  in  $\{M_\phi\}'$ , there are analytic functions  $\{s_i(\alpha)\}_{i=1}^n$  on  $U$  such that for each  $h$  in the Bergman space  $L_a^2$ ,

$$Th(\alpha) = \sum_{i=1}^n s_i(\alpha)h(\rho_i(\alpha)),$$

$$T^*k_\alpha = \sum_{i=1}^n \overline{s_i(\alpha)}k_{\rho_i(\alpha)}$$

for each  $\alpha$  in  $U$ . Moreover, those functions  $\{s_i(\alpha)\}_{i=1}^n$  admit unrestricted continuation in  $E$ .

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This follows easily from that  $\ker M_{\phi-\phi(\alpha)}^* = \bigvee_{j=1}^n k_{\rho_j(\alpha)}$ .

# RIEMANN SURFACES OF $\phi^{-1} \circ \phi$ OVER $\mathbb{D}$

A finite Blaschke product  $\phi$  with  $n$  zeros is an  $n$  to 1 conformal map of  $\overline{\mathbb{D}}$  onto  $\overline{\mathbb{D}}$ .

- Let  $\phi = \frac{P(z)}{Q(z)}$  be a Blaschke product of order  $n$  where  $P(z)$  and  $Q(z)$  are two coprime polynomials of degree  $n$ .
- Let  $f(w, z) = P(w)Q(z) - P(z)Q(w)$ .

Then  $f(w, z)$  is a polynomial of  $w$  with degree  $n$  and of  $z$ . For each  $z \in \mathbb{D}$ ,  $f(w, z) = 0$  has exactly  $n$  solutions in  $\mathbb{D}$ .

The Riemann surface  $S_\phi$  equals the locus  $S_f$  of solutions of the equation  $f(w, z) = 0$  in  $\mathbb{D}^2$  except for a few branch points.

- The Riemann surface  $S_\phi$  for  $\phi^{-1} \circ \phi$  over  $\mathbb{D}$  is an  $n$ -sheeted cover of  $\mathbb{D}$  with at most  $n(n-1)$  branch points, and it is **not connected**.

By unique factorization theorem for the ring  $\mathbb{C}[z, w]$  of polynomials of  $z$  and  $w$ , we can factor  $f(w, z) = \prod_{j=1}^q p_j(w, z)^{n_j}$  where  $p_1(w, z), \dots, p_q(w, z)$  are irreducible polynomials. Bochner's Theorem says that  $\phi$  has finite critical points in the unit disk  $\mathbb{D}$ . Thus we have  $f(w, z) = \prod_{j=1}^q p_j(w, z)$ .

## THEOREM

*Let  $\phi(z)$  be an  $n$ -th order Blaschke product and  $f(w, z) = \prod_{j=1}^q p_j(w, z)$ . Suppose that  $p(w, z)$  is one of factors of  $f(w, z)$ . Then the Riemann surface  $S_p$  is connected if and only if  $p(w, z)$  is irreducible. Hence  $q$  equals the number of connected components of the Riemann surface  $S_\phi = S_f$ .*

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### Remark

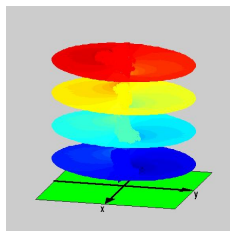
$q$  equals the number of irreducible components of the plane algebraic curve determined by

$$f(w, z) = 0.$$

# VISUALIZING RIEMANN SURFACES

Visualization of Riemann surfaces is complicated by the fact that they are embedded in  $C^2$ , a four-dimensional real space. One aid to constructing and visualizing them is a method known as **cut and paste**.

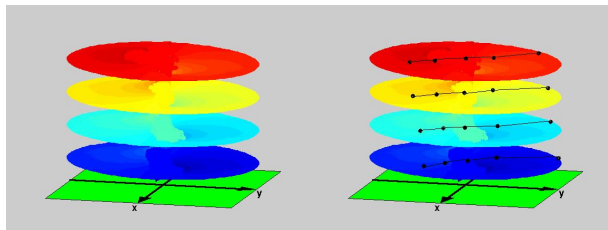
We begin with  $n$  copies of the unit disk  $\mathbb{D}$ , called sheets. The sheets are labeled  $\mathbb{D}_1, \dots, \mathbb{D}_n$  and stacked up over  $\mathbb{D}$ .



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Let  $\{z_1, \dots, z_m\}$  be the branch points. Suppose a curve  $\Gamma$  drawn through those branch points and a fixed point on the unit circle so that  $\mathbb{D}/\Gamma$  is a simply connected region.

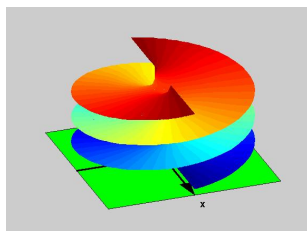




# VISUALIZING RIEMANN SURFACES

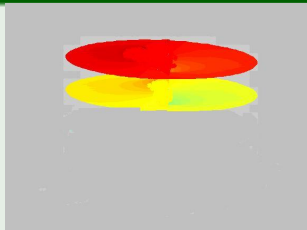
Visualization of Riemann surfaces is complicated by the fact that they are embedded in  $C^2$ , a four-dimensional real space. One aid to constructing and visualizing them is a method known as **cut and paste**.

Various sheets are glued to others along opposite edges of cuts. With the point in the  $k$ -th sheet over a value  $z$  in  $\mathbb{D}/\Gamma$  we associate the pair of values  $(\rho_k(z), z)$ . In this way a one-to-one correspondence is set up between the points in  $S_f$  over  $\mathbb{D}/\Gamma$  and the pair of points on the  $n$  sheets over  $\mathbb{D}/\Gamma$ .



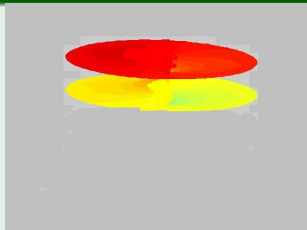
## EXAMPLE

If  $\phi(z) = \prod_{j=1}^2 \frac{z-\alpha_j}{1-\bar{\alpha}_j z}$ , the Riemann surface of  $\phi^{-1} \circ \phi$  over  $\mathbb{D}$  has two connected components..



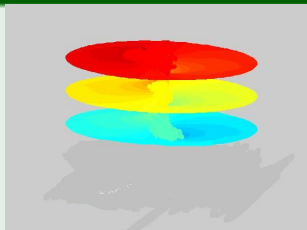
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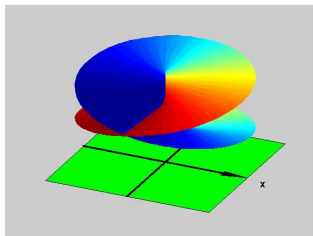
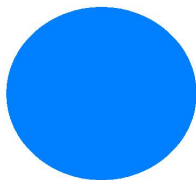
### EXAMPLE

If  $\phi(z) = z^3$ , then the Riemann surface of  $\phi^{-1} \circ \phi$  over  $\mathbb{D}$  has three connected components.



## EXAMPLE (Stephenson)

If  $\phi(z) = z^2 \frac{z-\alpha}{1-\bar{\alpha}z}$  for  $\alpha \neq 0$ ,  
the Riemann surface of  
 $\phi^{-1} \circ \phi$  over  $\mathbb{D}$  has only two  
connected components.

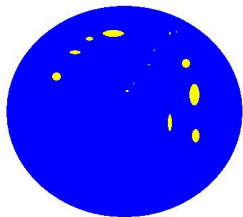


We need to order  $\{\rho_j\}_{j=1}^n$  globally over a simply connected subset of  $E$ . To do this, take an invertible small open set  $U$  of  $E$  such that the intersection of  $\rho_j(U)$  and  $\rho_k(U)$  is empty for  $j \neq k$ . We always can do so by shrinking  $U$  sufficiently. Fix the small invertible open set  $U$  and label  $\{\rho_j(z)\}_{j=1}^n$  as

$$\{\rho_1(z), \rho_2(z), \dots, \rho_n(z)\}$$

and assume  $\rho_1(z) = z$ .

Take a curve  $\Gamma$  through the finite set  $\mathcal{F}$  and connecting a point on the unit circle so that  $E \setminus \Gamma$  is simply connected and disjoint from the set  $\cup_{j=1}^n \rho_j(U)$ .

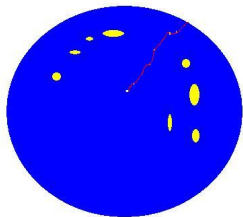


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# LABEL $\{\{\rho_j(z)\}_{j=1}^n, U\}$

The Riemann Monodromy theorem gives that each of  $\{\rho_j(z)\}_{j=1}^n$  has a uniquely analytic continuation on  $E \setminus \Gamma$  and hence we can view each of  $\{\rho_j(z)\}_{j=1}^n$  as an analytic function on  $E \setminus \Gamma$  satisfying

$$\phi(\rho_j(z)) = \phi(z),$$

We still order  $\{\rho_j(z)\}_{j=1}^n$  as  $\{\rho_1(z), \rho_2(z), \dots, \rho_n(z)\}$  at for  $z \in E \setminus \Gamma$  and  $j = 1, \dots, n$ . every point in  $E \setminus \Gamma$ . The label is denoted by  $\{\{\rho_j(z)\}_{j=1}^n, U\}$ .

## THEOREM

Let  $\phi$  be a finite Blaschke product. Let  $U$  be a small invertible open set of  $E$ . Let  $\{\rho_j(z)\}_{j=1}^n$  a complete collection of local inverses and  $E \setminus \Gamma$  with the label  $\{\{\rho_j(z)\}_{j=1}^n, U\}$ . Then for each  $T$  in  $\{M_\phi\}'$ , there are analytic functions  $\{s_i(\alpha)\}_{i=1}^n$  on  $E \setminus \Gamma$  such that for each  $h$  in the Bergman space  $L_a^2$ ,

$$\begin{aligned} Th(\alpha) &= \sum_{i=1}^n s_i(\alpha) h(\rho_i(\alpha)), \\ T^* k_\alpha &= \sum_{i=1}^n \overline{s_i(\alpha)} k_{\rho_i(\alpha)} \end{aligned}$$

for each  $\alpha$  in  $E \setminus \Gamma$ .



# REPRESENTATION OF UNITARY OPERATORS

If  $W$  is a unitary operator in  $\{M_\phi\}'$ , then  $W^*$  is also in  $\{M_\phi\}'$ .

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## THEOREM

Let  $\phi$  be a finite Blaschke product. Let  $U$  be a small invertible open set of  $E$ . Let  $\{\rho_i(z)\}_{i=1}^n$  be a complete collection of local inverses and  $E \setminus \Gamma$  with the label  $\{\{\rho_j(z)\}_{j=1}^n, U\}$ . Then for each unitary operator  $W$  in  $\{M_\phi\}'$ , there is a unit vector  $\{r_i\}_{i=1}^n$  in  $C^n$  such that for each  $h$  in the Bergman space  $L_a^2$ ,

$$Wh(\alpha) = \sum_{i=1}^n r_i \rho_i'(\alpha) h(\rho_i(\alpha)),$$
$$W^* k_\alpha = \sum_{i=1}^n \bar{r}_i \overline{\rho_i'(\alpha)} k_{\rho_i(\alpha)}$$

for each  $\alpha$  in  $E \setminus \Gamma$ .

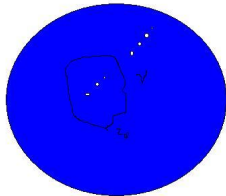
## THEOREM

Let  $U$  be a small invertible open set of  $E$ . Let  $\{\rho_i(z)\}_{i=1}^n$  be a complete collection of local inverses. Then for each  $\alpha$  in  $U$ ,

$$W^*k_\alpha = \sum_{k=1}^q \hat{r}_k \sum_{\rho \in G_{i_k}} \overline{\rho'(\alpha)} k_{\rho(\alpha)},$$

where  $\hat{r}_k = r_\rho$  for some  $\rho$  in  $G_{i_k}$ .

Take a curve  $\gamma$  through a point  $z_0$  in  $E$ . The analytic continuation along  $\gamma$  of  $\overline{W^*k_\alpha}$  is still  $W^*k_\alpha$ , but for each  $\rho \in G_{i_k}$ , the analytic continuation of  $\overline{\rho'(\alpha)k_{\rho(\alpha)}}$  will turn to  $\overline{\hat{\rho}'(\alpha)k_{\hat{\rho}(\alpha)}}$  for some  $\hat{\rho} \in G_{i_k}$



## THEOREM

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$$W^*k_\alpha = \sum_{k=1}^q \hat{r}_k \sum_{\rho \in G_{i_k}} \overline{\rho'(\alpha)} k_{\rho(\alpha)},$$

where  $\hat{r}_k = r_\rho$  for some  $\rho$  in  $G_{i_k}$ .

For each  $1 \leq k \leq q$ , define a bounded linear operator  $\mathcal{E}_k : L_a^2 \rightarrow L_a^2$  by

$$\mathcal{E}_k f(z) = \sum_{\rho \in G_{i_k}} \rho'(z) f(\rho(z))$$

for  $z \in E$  and each  $f \in L_a^2$ .

$$W^* = \sum_{k=1}^q \hat{r}_k \mathcal{E}_k^*.$$

# PROOF OF MAIN RESULT

## Russo-Dye Theorem

Every element in a von Neumann algebra  $\mathcal{A}$  can be written as a finite linear combination of unitary operators in  $\mathcal{A}$ .

For each unitary operator  $W \in \mathcal{A}_\phi$ ,

$$W = \sum_{k=1}^q \widehat{r}_k \mathcal{E}_k.$$

The von Neumann algebra  $\mathcal{A}_\phi$  is generated by  $\mathcal{E}_1, \dots, \mathcal{E}_q$  and its dimension  $\leq q$  if each  $\mathcal{E}_k$  is in  $\mathcal{A}_\phi$ .

The dimension of  $\mathcal{A}_\phi$  equals  $q$  if  $\mathcal{E}_1, \dots, \mathcal{E}_q$  are linearly independent.

$$\mathcal{E}_k f(z) = \sum_{\rho \in G_{i_k}} \rho'(z) f(\rho(z)).$$

- Is  $\mathcal{E}_k$  well-defined?

•  $\mathcal{E}_k \in \mathcal{A}_\phi$ ?

What is  $\mathcal{E}_k^*$ ?

- Are  $\mathcal{E}_1, \dots, \mathcal{E}_q$  linearly independent?

## $\mathcal{E}_k$ IS WELL-DEFINED AND COMMUTES WITH $M_\phi$

For each polynomial  $f$ ,  $\sum_{\rho \in G_{i_k}} \rho'(z)f(\rho(z))$  extends analytically on  $E$  and for each  $\rho$ .

$$\begin{aligned}\mathcal{E}_k(M_\phi f) &= \sum_{\rho \in G_{i_k}} \rho'(z)\phi(\rho(z))f(\rho(z)) \\ &= \phi(z) \sum_{\rho \in G_{i_k}} \rho'(z)f(\rho(z)) \\ &= M_\phi \mathcal{E}_k(f).\end{aligned}$$

Thus

$$\mathcal{E}_k M_\phi = M_\phi \mathcal{E}_k.$$

# CHANGE VARIABLE FORMULA

To get  $\mathcal{E}_k^*$ , we need the following change variable formula.

## LEMMA

For each  $\rho \in \{\rho_j\}_{j=1}^n$  and  $f \in L_a^2$ ,

$$\int_{E \setminus \Gamma} |f(\rho(z))|^2 |\rho'(z)|^2 dA(z) = \int_{\mathbb{D}} |f(w)|^2 dA(w).$$

This follows from that for each  $\rho \in \{\rho_j\}_{j=1}^n$ ,  $\rho(E \setminus \Gamma)$  contains  $\mathbb{D} \setminus \hat{\Gamma}$  where  $\hat{\Gamma}$  denotes the set

$$\Gamma \cup \cup_{j=1}^n \{w \in E \setminus \Gamma : \rho_j(w) \in \Gamma\},$$

which consists of finitely many curves on  $\mathbb{D}$ . Since  $\rho$  maps  $E$  into  $E$  and is locally analytic and injective on  $E$ ,  $\hat{\Gamma}$  is a closed set which area measure equals zero.



$$\mathcal{E}_k^* = \mathcal{E}_{k^-}$$

Since  $\rho^{-1}$  is also in  $\{\rho_i\}_{i=1}^n$  for each  $\rho \in \{\rho_i\}_{i=1}^n$ , let  $G_{i_k}^-$  denote the subset of  $\{\rho_i\}_{i=1}^n$ :

$$\{\rho : \rho^{-1} \in G_{i_k}^-\}.$$

We need the following lemma to get  $\mathcal{E}_k^*$ .

#### LEMMA

*For each  $i_k$ , there is an integer  $k^-$  with  $1 \leq k^- \leq q$  such that*

$$G_{i_k}^- = G_{i_{k^-}}.$$

#### THEOREM

*For each integer  $k$  with  $1 \leq k \leq q$ , there is an integer  $k^-$  with  $1 \leq k^- \leq q$  such that*

$$\mathcal{E}_k^* = \mathcal{E}_{k^-}.$$

## $\mathcal{E}_1, \dots, \mathcal{E}_q$ ARE LINEARLY INDEPENDENT.

Assume that there are constants  $c_1, \dots, c_q$  such that

$$c_1 \mathcal{E}_1 + \dots + c_q \mathcal{E}_q = 0.$$

Thus for each  $\alpha$  in  $E$ , we have

$$[c_1 \mathcal{E}_1 + \dots + c_q \mathcal{E}_q]^* k_\alpha = 0.$$

For each  $i$ , define

$$P_i(\alpha, z) = \prod_{j \neq i}^n (z - \rho_j(\alpha)).$$

An easy calculation gives

$$\langle P_{i_k}(\alpha, \cdot), [c_1 \mathcal{E}_1 + \dots + c_q \mathcal{E}_q]^* k_\alpha \rangle = c_k \rho'_{i_k}(\alpha) P_{i_k}(\alpha, \rho_{i_k}(\alpha)).$$

Since  $P_{i_k}(\alpha, \rho_{i_k}(\alpha)) \neq 0$  and  $\rho'_{i_k}(z)$  vanishes only on a countable subset of  $\mathbb{D}$ , we have that  $c_k$  must be zero for each  $k$ . We conclude that  $\mathcal{E}_1, \mathcal{E}_2, \dots, \mathcal{E}_q$  are linearly independent.

## THEOREM

Let  $\phi$  be a finite Blaschke product with order less than or equal to 8. Then  $\mathcal{A}_\phi$  is commutative.

Let  $\phi$  be a finite Blaschke product. Let  $G$  be those local inverses of  $\phi$  which extend analytically to only themselves in  $\mathbb{D} \setminus \mathcal{F}$ .

## LEMMA

$G$  is an elementary subgroup of  $\text{Aut}(\mathbb{D})$  consisting of elliptic Möbius transforms and identity  $\rho_1$ . Moreover, there are a point  $\alpha$  in  $\mathbb{D}$ , a unimodular constant  $\lambda$  and an integer  $n_G$  such that

$$G = \left\{ \frac{|\alpha|^2 - \lambda}{1 - |\alpha|^2 \lambda} \phi_{\frac{\alpha(1-\bar{\lambda})}{1-|\alpha|^2 \bar{\lambda}}}(z) : \lambda^{n_G} = 1 \right\}.$$